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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/197,908	11/23/98	2787	\$735.00	NEWMR RTP98-1	11	32	6

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Applicant(s)

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TITLE

* SMALL ENTITY *

DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET
FOR PREFERRED-RETURN SECURITIES

PRELIMINARY CLASS: 364

SECURITIES =

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Fax**To:** U.S. Patent & Trademark Office**Re:** U.S. Serial No. 09/197,908**Firm:****Date / Time:** January 20, 1999 / 4:59:44 PM**Street Address:****Phone:****City, State Zip:** Washington, DC**Fax:** 703/308-7751**cc:****No. of Pages:** 2**PRIVACY AND CONFIDENTIALITY NOTICE**

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